

1 DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
2 DIVISION OF FINANCE AND CORPORATE SECURITIES
3 SECURITIES SECTION
4 BEFORE THE DIRECTOR OF THE DEPARTMENT OF
5 CONSUMER AND BUSINESS SERVICES
6 STATE OF OREGON

5 In the Matter of:) 0-96-0021
6)
7 ASTRA STRATEGIC INVESTMENT) ORDER TO ASSESS CIVIL PENALTIES
8 SERIES, ASTRA ADJUSTABLE) and
9 U.S. GOVERNMENT SECURITIES) CONSENT TO ENTRY OF ORDER
10 TRUST I-A AND II)
11 Respondents.)

12 WHEREAS the Director of the Department of Consumer and
13 Business Services for the State of Oregon (hereinafter
14 DIRECTOR) has reviewed certain securities transactions
15 effected in Oregon by Respondent ASTRA STRATEGIC INVESTMENT
16 SERIES, ASTRA ADJUSTABLE U.S. GOVERNMENT SECURITIES TRUST
17 I-A AND II formerly PILGRIM STRATEGIC INVESTMENT SERIES -
18 PILGRIM ADJUSTABLE U.S. GOVERNMENT SECURITIES TRUST I-A AND
19 II (hereinafter ASTRA) and has determined that ASTRA sold
20 unregistered securities in the State of Oregon in violation
21 of the Oregon Securities Law; and

22 WHEREAS ASTRA wishes to resolve and settle this matter
23 with the DIRECTOR;

24 NOW THEREFORE, as evidenced by the authorized
25 signature(s) subscribed on this Order, ASTRA hereby **CONSENTS**
26 to entry of this Order to Assess Civil Penalties based upon
the Director's Findings of Fact and Conclusions of Law as
stated hereinafter:



