

DEPARTMENT OF CONSUMER AND BUSINESS SERVICES
DIVISION OF FINANCE AND CORPORATE SECURITIES
ENFORCEMENT SECTION
BEFORE THE DIRECTOR OF THE DEPARTMENT OF CONSUMER AND
BUSINESS SERVICES

<p>4 In the Matter of:</p> <p>5 BROOKSTREET SECURITIES</p> <p>6 CORPORATION, INC. and RICARDO</p> <p>7 CABEZAS,</p> <p>8 Respondents.</p>	<p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p>	<p>5 No. A-05-0017(B)</p> <p>6 FINAL ORDER TO CEASE AND</p> <p>7 DESIST, DENYING EXEMPTIONS,</p> <p>8 AND ASSESSING CIVIL PENALTIES</p> <p>9 ENTERED BY DEFAULT AGAINST</p> <p>10 RICARDO CABEZAS ONLY</p>
--	---	--

11 **WHEREAS**, the Director of the Department of Consumer and Business Services for the
 12 State of Oregon (hereafter the "Director"), acting pursuant to the authority granted by the Oregon
 13 Securities Law (ORS 59.005 *et seq.*), has conducted an investigation into the activities of
 14 **BROOKSTREET SECURITIES CORPORATION, INC.** (hereafter "**BROOKSTREET**
 15 **SECURITIES**") and **RICARDO CABEZAS** (hereafter "**CABEZAS**");

16 **WHEREAS**, on November 3, 2005 Respondent **CABEZAS** was duly served with a true
 17 copy of the Order and Notice by United States Mail, first class postage prepaid, addressed to
 18 Respondent **CABEZAS** at the following last known address: 3013 Winter Street, Los Angeles,
 19 California 90063. This mailing was not returned.

20 **WHEREAS**, on November 3, 2005 Respondent **CABEZAS** was duly served with a true
 21 copy of the Order and Notice by certified United States Mail (Item #7004 1350 0000 5011
 22 8117), postage prepaid, and addressed to Respondent **CABEZAS** at the following last known
 23 address: 3013 Winter Street, Los Angeles, California 90063. This mailing was returned to
 24 sender, marked "Unclaimed."

25 //

Division of Finance and Corporate Securities
 Labor and Industries Building
 350 Winter Street NE, Suite 410
 Salem, OR 97301-3881
 Telephone: (503) 378-4387

